

1 Applicant or Patentee: Phillip Apple
2 Serial or Patent No.:
3 Filed or Issued:
4 Title: CLOSEABLE THATCHED UMBRELLA

Attorney's
Docket No.: 1567

6 VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
7 (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR

9 As a below named inventor, I hereby declare that I qualify as an independent
10 inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the
11 Patent and Trademark Office regarding the invention entitled CLOSEABLE THATCHED
12 UMBRELLA described in:

14 the specification filed herewith.
15 application serial number _____, filed _____.
16 patent number _____, issued _____.
17

18 I have not assigned, granted, conveyed or licensed and am under no obligation under
19 contract or law to assign, grant, convey or license, any rights in the invention to
20 any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if
21 that person had made the invention, or to any concern which would not qualify as a
22 small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR
23 1.9(e).

24 Each person, concern or organization to which I have assigned, granted, conveyed,
25 or licensed or am under an obligation under contract or law to assign, grant,
26 convey, or license any rights in the invention is listed below:*

28 No such person, concern or organization
29 Persons, concerns or organizations listed below*

32 *Note: Separate verified statements are required from each named person,
33 concern or organization having rights to the invention averring to their status as
34 small entities. (37 CFR 1.27)

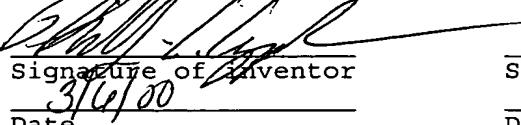
36 NAME _____
37 ADDRESS _____
38 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION
39 NAME _____
40 ADDRESS _____
41 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION
42 NAME _____
43 ADDRESS _____
44 INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION
45

46 I acknowledge the duty to file, in this application or patent, notification
47 of any change in status resulting in loss of entitlement to small entity status
48 prior to paying, or at the time of paying, the earliest of the issue fee or any
49 maintenance fee due after the date on which status as a small entity is no longer
50 appropriate. (37 CFR 1.28(b))

51 I hereby declare that all statements made herein of my own knowledge are true
52 and that all statements made on information and belief are believed to be true; and
53 further that these statements were made with the knowledge that willful false
54 statements and the like so made are punishable by fine or imprisonment, or both,
55 under section 1001 of Title 18 of the United States Code, and that such willful
56 false statements may jeopardize the validity of the application, any patent issuing
57 thereon, or any patent to which this verified statement is directed.

59 Phillip Apple

60 NAME OF INVENTOR

61 
62 Signature of Inventor

63 Date

NAME OF INVENTOR

Signature of Inventor

Date

NAME OF INVENTOR

Signature of Inventor

Date

1
2 COMBINED DECLARATION AND POWER OF ATTORNEY
3 IN ORIGINAL APPLICATION

ATTORNEY DOCKET NO. 1567

4 As a below named inventor I hereby declare that:
5 my residence, post office address and citizenship are as stated below
6 next to my name; that I verily believe that I am the original, first and
7 sole inventor (if only one name is listed below) or a joint inventor (if
8 plural inventors are name below) of the invention entitled:
9

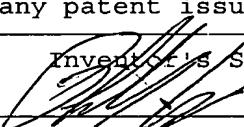
CLOSEABLE THATCHED UMBRELLA

10 described and claimed in the attached specification, that I have reviewed
11 and understand the contents of the attached specification, including the
12 claims, as amended by any amendment specifically referred to in the oath
13 or declaration; that I do not know and do not believe the same was ever
14 known or used in the United States of America before my or our invention
15 thereof, or patented or described in any printed publication in any country
16 before my or our invention thereof, or more than one year prior to this
17 application; that the same was not in public use or on sale in the United
18 States of America more than one year prior to this application, that the
19 invention has not been patented or made the subject of an inventor's
20 certificate issued before the date of this application in any country
21 foreign to the United States of America on an application filed by me or
22 my legal representatives or assigns more than twelve months prior to this
23 application that I acknowledge my duty to disclose information of which I
24 am aware which is material to the examination of this application in
25 accordance with § 1.56(a), and that no application for patent or inventor's
26 certificate on this invention has been filed in any country foreign to the
27 United States of America prior to this application by me or my legal
28 representatives or assigns, except as follows: NONE

29 I hereby appoint the following attorney(s) and/or agents(s) to
30 prosecute this application and to transact all business in the Patent and
31 Trademark Office connected therewith:

32 Mark C. Jacobs, Esq. Registration No. 24043
33 3033 El Camino Avenue Sacramento, California 95821
34 Address all telephone calls to Mark C. Jacobs, Esq.
35 at Telephone No. (916) 485-5000
36 Address all correspondence to Mark C. Jacobs, Esq.
37 3033 El Camino Avenue Sacramento, California 95821
38

39 I hereby declare that all statements made herein of my own knowledge
40 are true and that all statements made on information and belief are
41 believed to be true; and further that these statements were made with the
42 knowledge that willful false statements and the like so made are punishable
43 by fine or imprisonment, or both, under Section 1001 of Title 18 of the
44 United States Code and that such willful false statements may jeopardize
45 the validity of the application or any patent issued thereon.

46 Full Name of Sole or First Inventor Inventor's Signature Date
47 Phillip Apple  3/4/00

50 Residence Citizenship
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53 Post Office Address
54 R.R. #1, Box 65, Mt. Pleasant Mills, PA 17853-9731

56 Full Name of Second Joint Inventor, If Any Inventor's Signature Date

59 Residence Citizenship

62 Post Office Address